

# OFF-MARKET TRANSFER



Please complete this form if you wish to notify One Registry Services of an off-market transfer.

Please type or print using a **black** pen and print in CAPITAL letters inside the boxes.

## INVESTMENT DETAILS - 'Fund'

Name of Fund

ARSN

Name of Issuer

ACN

Class of Units

## TRANSACTION DETAILS - 'Units'

Number of Units to be Transferred

Consideration

Date of Transfer (dd/mm/yyyy)

## SELLER'S DETAILS - 'Seller'

Investor's Number

Investor's Name

Investor's Address

Suburb/Town

State

Postcode

These details should exactly match the details that appear in your holding statement. Please contact One Registry Services if any details need to be updated.

## BUYER'S DETAILS - 'Buyer'

Investor Number (if applicable)

Buyer's Legal Name

Account Designation if Required: (e.g. 'as trustee for [insert name of trust]' or 'as custodian for [insert name of client]')

Buyer's Registered Address

Suburb/Town

State

Postcode

If the Buyer does not already hold units in the Fund, they must provide a completed and signed application form (which accompanies the Fund's latest Offer Document) and provide all identification documents that are required by the application form. Please contact One Registry Services if you require a copy of the application form.

If the Buyer is an Existing investor, they must provide their Investor Number and ensure that all Buyer's Details exactly match the details that appear in their holding statement. Please contact One Registry Services if any details need to be updated.

## SIGN HERE

By signing below, the Seller agrees to transfer the Units to the Buyer and states that they are the owner of the Units entitled to transfer them to the Buyer free from any encumbrance.

By signing below, the Buyer agrees to pay the Seller the consideration for the Units and to be bound by the Fund's constitution.

If the Buyer already holds units in the Fund, they confirm that all details provided in this transfer form and previous applications or transfer forms, including beneficial ownership remain true and correct and the Buyer undertakes to inform ORS of any changes to the information supplied as and when they occur. The Buyer also considers their objectives, financial situation and needs have not materially changed since their initial investment.

### All Seller(s) Must Sign

**Signature of Individual Securityholder / Director / Sole Director and Sole Company Secretary**  
(Please delete as applicable)

Name

Date (dd/mm/yyyy)

**Signature of Joint Securityholder/ Director / Company Secretary**  
(Please delete as applicable)

Name

Date (dd/mm/yyyy)

### All Buyer(s) Must Sign

**Signature of Individual Securityholder / Director / Sole Director and Sole Company Secretary**  
(Please delete as applicable)

Name

Date (dd/mm/yyyy)

**Signature of Joint Securityholder/ Director / Company Secretary**  
(Please delete as applicable)

Name

Date (dd/mm/yyyy)

## IMPORTANT INFORMATION

- Consideration:** If the transfer does not result in a change of beneficial ownership, please enter NCBO in the Consideration field. Consideration should be calculated using the Unit Price that the units were valued at. If no Consideration is provided and the transfer results in a change of beneficial ownership, the Consideration will be calculated using the Unit Price as at the date the transfer is processed.
- Date of Transfer:** If no Date of Transfer is provided, the transfer will be processed as at the date the fully signed transfer form was received.
- Individual:** This form is to be signed by the security holder.
- Joint Holding:** Where the holding is in more than one name, all of the security holders must sign.
- Power Of Attorney:** To sign under Power of Attorney, you must have already lodged this document with the registry. If you have not previously lodged this document for notation, please attach an original certified copy of the Power of Attorney to this form when you return it. When signed under Power of Attorney, the attorney states that they have not received a notice of revocation. One Registry Services needs to sight a certified copy of the Power of Attorney.
- Companies:** Where the company is changing its name this form must be signed by either 2 Directors or a Director and a Company Secretary. Alternatively, where the company has a Sole Director and, pursuant to the Corporations Act, there is no Company Secretary, or where the Sole Director is also the Sole Company Secretary, that Director may sign alone and is required to complete the warranty stating that there is no Company Secretary by completing their name in full.

**Privacy Clause:** One Registry Services Pty Ltd (ACN 141 757 360) (ORS) has been engaged by the Issuer to provide registry services. ORS must collect and disclose information about you and your holding (including your name, address and details of the securities you hold). These details will be included in the register maintained for the Fund and, where relevant, may be made available to third parties under the Corporations Act. Information is collected to administer your security holding and if some or all of the information is not collected then it might not be possible to administer your holding. Your personal information may be disclosed to the Issuer or its service providers and regulators (such as ASIC, AUSTRAC and ATO). You can obtain access to your personal information by contacting us at the address or telephone number shown on this form. Our privacy policy is available on our website [www.oneregistryservices.com.au](http://www.oneregistryservices.com.au).

## SENDING YOUR FORM

### All correspondence posted to:

One Registry Services Pty Limited  
PO Box R1479  
Royal Exchange NSW 1225

T: +61 2 8188 1510  
[www.oneregistryservices.com.au](http://www.oneregistryservices.com.au)